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The cytoplasmic mRNA degradation factor Pat1 is required for ribosomal RNA processing.

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ABSTRACT

Pat1 is a key cytoplasmic mRNA degradation factor, the loss of which severely increases mRNA half-lives. Several recent studies have shown that Pat1 can enter the nucleus and can shuttle between the nucleus and the cytoplasm. As a result, many nuclear roles have been proposed for Pat1. In this study, we analyzed four previously suggested nuclear roles of Pat1 and show that Pat1 is not required for efficient pre-mRNA splicing or pre-mRNA decay in yeast. However, lack of Pat1 results in accumulation of pre-rRNA processing intermediates. Intriguingly, we identified a novel genetic relationship between Pat1 and the rRNA decay machinery, specifically the exosome and the TRAMP complex. While the pre-rRNA processing intermediates that accumulate in the *pat1* deletion mutant are, at least to some extent, recognized as aberrant by the rRNA degradation machinery, it is unlikely that these accumulations are the cause of their synthetic sick relationship. Here, we show that the dysregulation of the levels of mRNAs related to ribosome biogenesis could be the cause of the accumulation of the pre-rRNA processing intermediates. Although our results support a role for Pat1 in transcription, they nevertheless suggest that the primary cause of the dysregulated mRNA levels is most likely due to Pat1's role in mRNA decapping and mRNA degradation.

1 INTRODUCTION

2 Modulation of transcription and mRNA degradation is a crucial aspect of eukaryotic gene expression¹. The
3 stability of messenger RNA is controlled by two important structures, the m7G cap at the 5' end and poly(A) tail at
4 the 3' end. Shortening of the poly(A) tail is the rate limiting step in the process of mRNA degradation in yeast².
5 After the shortening of the poly(A) tail, mRNA can be degraded either by the 5' to 3' or the 3' to 5' decay pathways.
6 Messenger RNA decay from the 3' to 5' direction is facilitated by the cytoplasmic exosome complex. Degradation of
7 mRNA by the 5' to 3' decay pathway is the major pathway of mRNA degradation in yeast². It begins with the
8 removal of the 5' m7G cap by the decapping enzyme followed by the rapid degradation of the mRNA by the
9 cytoplasmic 5' to 3' exonuclease Xrn1^{3,4}.

10 Pat1 is a key protein that has been proposed to act as a scaffold to coordinate the process of mRNA
11 degradation in yeast. Pat1 is an evolutionarily conserved mRNA decapping activator found from yeast to mammals
12^{5,6}. It has been suggested that Pat1 first represses translation, then recruits and activates the decapping enzyme^{5,7-}
13⁹. Pat1 is a part of Pat1/Lsm1-7 complex, which regulates mRNA decay in the cytoplasm^{10,11}.

14 While the cytoplasmic role of Pat1 as an mRNA decay factor is well studied, recently it has been shown that
15 Pat1 can enter the nucleus and can shuttle between the nucleus and cytoplasm¹²⁻¹⁴. The nuclear role of Pat1 has
16 been largely overlooked since its initial identification as a Top2-interacting protein, which is the source of its name:
17 "Protein Associated with Topoisomerase" (Pat1)^{15,16}. In addition, recent studies have proposed a role for Pat1 in
18 splicing, transcription and retrograde tRNA import^{12,14,17-19}. Human Pat1b co-localizes with splicing speckles,
19 dynamic structures that contain poly(A)+ mRNA, SR proteins and splicing factors, supporting a splicing related
20 function for Pat1. Its role in transcription was documented by a genome-wide transcriptomics study and in vivo
21 transcriptional assays^{12,17}. Furthermore, Pat1 has also been shown to be a structural component of the yeast
22 kinetochore. Pat1 has been implicated to be crucial for integrity of the centromeric chromatin and chromosome
23 segregation²⁰.

24 Another speculation was that Pat1 could bind the nuclear Lsm2-8 complex via the Lsm2 and Lsm3 proteins²¹.
25 Lsm2-8 is the nuclear counterpart to the cytoplasmic Lsm1-7 complex, which functions in splicing, pre-mRNA
26 decay and ribosome biogenesis (RB)²²⁻²⁴. Despite these suggestions, its function in the nucleus, beyond
27 transcription and chromosome segregation, has been mostly speculative. In this work, we addressed these

possible roles and show that while Pat1 does affect rRNA processing and transcription, the overwhelming importance of Pat1 is in regulating mRNA stability.

RESULTS

Pat1 is not required for pre-mRNA splicing.

To elucidate if Pat1 functions in pre-mRNA splicing, we first examined whether the growth behavior of the *pat1Δ* strain is comparable to the known non-essential splicing deficient mutants of the nuclear Lsm2-8 complex (i.e. *lsm6Δ* and *lsm7Δ* mutants). The deletion of *lsm1*, a component of the cytoplasmic Lsm1-7 complex that does not affect splicing was used as a negative control^{25,26}. However, Pat1 is found in the nucleus in this mutant (Figure S1)¹³. At 30°C all the deletion strains grew analogously to the WT strain, but at 37°C all the mutants grew slightly slower. At 20°C, the splicing defective mutants *lsm6Δ* and *lsm7Δ* grew at least twenty fold slower than the WT, while the *pat1Δ* and *lsm1Δ* strains had no growth defect (Figure 1A).

Thereafter, we examined the accumulation of the intron-containing precursor of the U3 snoRNA by northern analysis, which is a sensitive measure of splicing in yeast^{26,27}. In the *pat1Δ* mutant, like the *lsm1Δ* strain, the pre-U3 species does not accumulate when compared to WT strain. However, as expected, the levels of the pre-U3 RNA were significantly elevated in *lsm6Δ* and *lsm7Δ* (Figure 1B). Since Pat1 is not required for splicing of the pre-U3 snoRNA, Pat1 is also most likely is not required for efficient splicing in the nucleus in general.

Pat1 does not function in snoRNA and nuclear pre-mRNA decay.

The major function of Pat1 in the cytoplasm is to activate the decapping enzyme complex and to promote mRNA decay^{5,7}. Therefore, we hypothesized that Pat1 could potentially have similar functions in the nucleus, where pre-mRNAs undergo co-transcriptional splicing and several other post-transcriptional modifications before being exported to the cytoplasm as mature mRNAs. Pre-mRNAs whose introns are not spliced out undergo degradation in the nucleus. Inhibition of the nuclear decay machinery leads to a slight accumulation of mature mRNAs indicating that pre-mRNA splicing is in constant competition with their decay suggesting that significant amount of unspliced pre-mRNAs undergo degradation even in wild-type yeast²⁸.

To determine the role of Pat1 in nuclear pre-mRNA decay, we used an assay examining pre-mRNAs that

1 contain a small nucleolar RNA (snoRNA) in their intronic region. snoRNAs are often located within the intronic
2 region of pre-mRNAs of genes encoding proteins related to ribosome synthesis or function, although less so in
3 yeast than in vertebrates. They are processed out when the pre-mRNA undergoes either splicing or degradation
4 ^{29,30}. The presence of snoRNA serves as a steric block to exonucleases. In the deletion mutants that are defective
5 in nuclear decapping, only 3' to 5' exosome mediated decay occurs. This blockage leads to an accumulation of 5'
6 degradation intermediates ^{23,28}. Since the Lsm2-8 complex has been shown to facilitate nuclear pre-mRNA
7 decapping and degradation, strains lacking Lsm6 and Lsm7 were used as positive controls ²³.

8 To examine if Pat1 affects nuclear pre-mRNA decay, we monitored the accumulation of 5' unprocessed decay
9 fragments of the *TEF4* pre-mRNA that contains a snoRNA, snR38, in its intronic region, in the *pat1Δ* mutant by
10 northern analysis. First, we found the *pat1Δ* and *lsm1Δ* strains did not accumulate 5' unprocessed products of
11 *TEF4* pre-mRNA as compared to the WT strain (Figure 2B) ²³. Second, as expected, the *lsm6Δ* and *lsm7Δ* mutants
12 accumulated 5'-unprocessed fragments.

13 To confirm these results, we analyzed the pre-mRNA of *EFB1* that contains a snoRNA, snR18 in its intronic
14 region and observed similar results (Figure 2C). Despite the accumulation of the decay products in the *lsm6Δ* and
15 *lsm7Δ* mutants, the levels of mature mRNAs of *TEF4* and *EFB1* did not change significantly from the WT strain,
16 similar to the intron containing *ACT1* and intron-less *PGK1* mRNA (Figure 2C, 2D, and 2E) ²³. In contrast, the
17 abundance of the snoRNAs, snR38, and snR18, increased slightly but significantly in *pat1Δ* mutant (Figure 2B, 2C,
18 and 2G). Both intronic and independently transcribed snoRNAs were elevated in the *pat1Δ* mutant and the *lsm*
19 mutants tested here (Figure S2). The cause for the increase in the levels of snoRNAs is unclear.

20 A possible explanation could be that the absence of Pat1 may be required for destruction of mature U3 snoRNA
21 similar to that of strains defective in the nuclear Lsm2-8 complex ³¹. As expected, in the strains lacking Lsm6 or
22 Lsm7, a stable degradation product of U3 snoRNA accumulated. However, the *pat1Δ* and *lsm1Δ* strains did not
23 have significant accumulations, indicating that they are not involved in degradation of the mature U3 snoRNA
24 (Figure 1B). However, these results illustrate that Pat1 does not facilitate nuclear pre-mRNA decay similar to Lsm1
25 and unlike Lsm6 and Lsm7.

26 **Absence of Pat1 leads to accumulation of rRNA processing intermediates.**

Next, we speculated that Pat1 might be required for efficient ribosome biogenesis (RB). Two main observations support this hypothesis. First, Pat1 was shown to associate with ribosomes^{32,33}. Second, the Lsm2-8 complex to which Pat1 has been proposed to bind in the nucleus, is required for rRNA processing^{21,24,34}. Strains deprived of proteins required for RB tend to accumulate pre-rRNA processing intermediates, produced due to inefficient or defective rRNA processing³⁵. We hypothesized that if Pat1 is required for RB; the *pat1*Δ mutant should accumulate such intermediates (Figure 3). The positions of the probes used in this study are diagrammed in the Figure 3A.

The 35S pre-rRNA transcript accumulated most prominently in the *pat1*Δ strain to nearly three-fold above that of the WT strain (Table 1, Figure 3B and 3C). This effect could be rescued with a centromeric plasmid containing a full-length copy of *PAT1* (data not shown). Consistent with the previous studies, in the *lsm1*Δ, *lsm6*Δ and *lsm7*Δ mutants, there was also significant accumulation of 35S pre-rRNA precursor^{24,36}.

The 35S primary transcript undergoes initial processing by cleavages at the A₀ and A₁ sites to form a 32S pre-rRNA precursor, which then rapidly undergoes cleavage at A₂. The A₂ cleavage separates the pre-rRNA transcript into precursors of the mature 25S and 18S rRNAs. 20S pre-rRNA, the precursor of the 18S rRNA significantly accumulated in the *pat1*Δ mutant, which was also could be rescued with a centromeric plasmid containing genomic copy of *PAT1* (Figure 3B, 3C, and data not shown). The 20S pre-rRNA precursor clearly accumulated in *lsm1*Δ, *lsm6*Δ and *lsm7*Δ mutants as well at 30°C.

The amount of the 27SA pre-rRNA species, the 25S rRNA precursor products that form after A₂ and A₃ cleavages, did not change significantly in the *pat1*Δ and *lsm1*Δ mutants. In contrast, their levels significantly decreased in *lsm6*Δ and *lsm7*Δ mutants. We also observed a significant increase in the levels of 27S pre-rRNA species in *pat1*Δ but not the other strains (Figure 3B and 3C). Finally, the amount of mature 18S and 25S rRNA did not change significantly in the *pat1*Δ strain (Figure 3B and 3C).

Taken together, these results suggest that Pat1 is required for pre-rRNA processing of 35S rRNA, 27S pre-rRNA and 20S pre-rRNA. Therefore, Pat1 and Lsm1 might act together or in similar pathways to affect rRNA processing differently from Lsm2-8 complex.

Ribosomal RNA processing is delayed in the *pat1*Δ mutant.

1 The accumulated intermediates in the *pat1Δ* mutant could be from a delay in rRNA processing. To examine this
2 question directly, we performed pulse-chase analyses of pre-rRNA processing in the WT and *pat1Δ* strains. One of
3 the first pre-rRNA products generated is the 35S pre-rRNA transcript. However, it is rapidly processed into 27S pre-
4 rRNA and 20S pre-rRNA species³⁷. It is noteworthy that we observed a much longer persistence of the 35S pre-
5 rRNA in the *pat1Δ* mutant consistent with the accumulation of intermediates observed at steady-state (Compare
6 Figure 3B and 3D), while the WT strain underwent rapid processing into the subsequent products.

7 There was also a delay in the processing of the 27SA pre-rRNA species in the *pat1Δ* mutant compared to the
8 WT strain. The final maturation of the 20S pre-rRNA to 18S occurs in the cytoplasm. Therefore, the delay in
9 processing of this pre-rRNA precursor suggests that Pat1 may either affect the export of the ribosome or the
10 cytoplasmic processing of 18S rRNA (Figure 3D)^{38,39}.

11 **Pat1 mutant has synthetic growth defects with the ribosomal RNA surveillance machinery.**

12 The *pat1Δ* mutant accumulates abnormal levels of rRNA processing intermediates. However, additional
13 aberrant intermediates could be eliminated rapidly by the nuclear surveillance machinery and thus not observed.
14 To analyze if any such products are formed in the *pat1Δ* strain, we deleted *TRF4*, the poly(A) polymerase of the
15 TRAMP (Trf4/Air2/Mtr4 Polyadenylation). The TRAMP complex facilitates the addition of four to six adenosines at
16 the 3' end of an abnormal rRNA intermediates. We also deleted *RRP6*, the nuclear-specific component of the
17 exosome complex that degrades these aberrant rRNAs in the *pat1Δ* and WT strain backgrounds⁴⁰⁻⁴².

18 To determine if a genetic relationship exists between Pat1 and the nuclear surveillance machinery, we
19 examined the growth of the single (*pat1Δ*, *trf4Δ*, and *rrp6Δ*) and the double deletion strains (*pat1Δ trf4Δ* and *pat1Δ*
20 *rrp6Δ*). As in Figure 1A, the *pat1Δ* mutant grew slightly slower than WT at 37°C. The growth of the *rrp6Δ* mutant
21 was severely inhibited at 37°C, but grew comparably to the WT strain at 20°C and 30°C as reported previously
22 (Figure 3E) (46). When combined with the *pat1Δ* mutation, the 37°C growth inhibition of the *rrp6Δ* mutant became
23 more pronounced.

24 The single deletion mutant *trf4Δ* was cold sensitive at 20°C, but grew like WT strain at all the other temperatures
25 examined (Figure 3E). The *pat1Δ trf4Δ* double deletion mutant was synthetically slow growing at all temperatures,
26 exhibiting the strongest growth defect at 20°C. Growth inhibition of the *pat1Δ rrp6Δ* mutant at 37°C and *pat1Δ trf4Δ*
27 strain at 20°C is due to slower growth as viability was unaffected (data not shown). Altogether, these results show

1 that Pat1 has a synthetic sick relationship with the nuclear exosome and TRAMP complex mutants. One possible
2 source of these synthetic relationships could be presence of abnormal levels of rRNA intermediates generated in
3 the *pat1* Δ strain. We therefore examined the levels of rRNA processing intermediates in the single and double
4 mutants.

5 Although there are subtle rRNA processing defects in the double deletion mutants (*pat1* Δ *rrp6* Δ and *pat1* Δ *trf4* Δ)
6 compared to the single mutants, we did not observe significant synergistic increases of rRNA intermediates in
7 either the *trf4* Δ *pat1* Δ or the *rrp6* Δ *pat1* Δ mutant (Figure S4). For instance, the levels of 27SA, 27S, 18S and 25S
8 rRNA increased in the *trf4* Δ *pat1* Δ mutant at both 30°C and 37°C but not at 20°C. In *rrp6* Δ *pat1* Δ mutant, 27SA and
9 27S pre-rRNA intermediates accumulated at 30°C and their levels decreased at 37°C. The levels of 20S pre-rRNA
10 stabilized at 20°C and 30°C but not at 37°C in the double mutants compared to the single mutants (*rrp6* Δ and
11 *trf4* Δ). Stabilization of the 23S pre-rRNA was also observed in *trf4* Δ *pat1* Δ at all the temperatures. Interestingly,
12 while the mature 25S to 18S rRNA ratio was altered in the *trf4* Δ *pat1* Δ strain at 30°C, there was only a modest
13 growth defect. This suggests that the synthetic sickness observed in these mutants might not be linked to RB
14 (Figure 3E and S4). We envisage that the synthetic sick growth of *trf4* Δ *pat1* Δ is due to either undetected
15 intermediates, which are not eliminated by the TRAMP complex or alternatively a TRAMP independent role of Trf4.

16 The nuclear exosome also promotes the degradation of abnormal rRNA processing intermediates. As shown
17 previously, we also observed the accumulation of decay products in both the *rrp6* Δ and *pat1* Δ *rrp6* Δ mutants
18 (Figure 5, *rrp6* Δ and *pat1* Δ *rrp6* Δ lanes with products indicated with *)⁴⁰. In the *trf4* Δ single mutant, similar decay
19 intermediates were observed at 20°C (Figure 5 with products indicated with ‘). We do not know the source of these
20 decay products. Interestingly, in the *pat1* Δ *trf4* Δ double mutant, we observed an increase of these species
21 indicating a possible role of Pat1 in Trf4 dependent decay of the pre-rRNA processing intermediates.

22 We also considered the possibility that the abnormal rRNA precursors containing pre-ribosomes generated in
23 the *pat1* Δ mutant might be exported to the cytoplasm where they could be detected by the nonfunctional rRNA
24 decay pathway that recognizes ribosomes with aberrant rRNA⁴³. This pathway relies on the Dom34 and Hbs1
25 proteins to recognize faulty 40S ribosomes and target them for destruction by Xrn1 and the cytoplasmic exosome.
26 To determine whether a genetic relationship exists between *PAT1* and *DOM34* or *HBS1*, we monitored the growth
27 of the individual deletion mutants, and those combined with the *pat1* Δ mutation at various temperatures. The

growth assays demonstrated no synthetic genetic relationship between Pat1 and nonfunctional rRNA decay pathway proteins Dom34 and Hbs1 (Figure S3).

Pat1 mutants that are defective in decapping also exhibit rRNA processing defects.

Next, we tested if the mRNA decapping function of Pat1 and RB are linked. Pat1 affects multiple processes of gene expression. Pat1 for example, not only strongly affects mRNA decapping but can also alter translation and transcription^{5,7,12}. Previous works have examined the role of different truncation mutants of Pat1 in mRNA decapping, translation repression and protein-protein interaction^{5,9}.

The Middle or M-domain of Pat1, consisting of amino acids 254-422, was shown to be required but not sufficient for decapping⁹. When expressed alone, the M-domain behaved like a *pat1* null mutant in decapping ability (unpublished data). The N-terminal or N-domain (1-254) and C-terminal or C-domain (422-796) were proposed to enhance the ability of M-domain to promote decapping. However, the M and C-domains together were demonstrated to be the most important for translational repression in vitro^{5,9}.

First, we tested the growth behavior of Pat1 truncation mutants. We wished to examine them in the background used in our study (BY4741) to confirm that they perform the same as in the different strain background previously used^{9,44}. As shown in Pilkington et al.⁹, at 36°C, the *pat1Δ* mutant demonstrates growth inhibition (Figure 4A)⁹. Consistent with the previous results, all the mutants lacking the M-domain showed growth defects, although to differing extents. While our data qualitatively agrees with Pilkington et al., some strain specific differences may account for the *pat1Δ* strain and the mutants lacking the M-domain being unable to grow at 35°C and the growth inhibition in other mutants being much more pronounced than in BY4741 than in the strain used by Pilkington et al.⁹.

Since the *pat1Δ* mutant in our strain background exhibited growth differences compared to the one previously examined, we wished to confirm the mutants linkage to mRNA decapping in BY4741 strain. mRNA decapping in vivo can be assessed using a reporter mRNA containing a poly(G) tract in its 3' UTR, which serves as a steric block to 5' to 3' degradation and results in the generation of an mRNA decay intermediate or decay fragment (Figure S5). As the generation of the fragment is analogous to the efficiency of decapping, the levels of this fragment compared to the full-length mRNA represents an accurate measure of the ability of mRNA decapping⁹.

Using *MFA2* mRNA, we found that the M-domain is required for decapping, and that the N and M contribute to differing extents (Figure 4C), similar to published results⁹.

Since we have determined the role of the truncations in decapping, we then investigated how different domains of Pat1 affect pre-rRNA processing. We observed four main results from these analyses (Figure 4B). First, 35S pre-rRNA, 27S pre-rRNA and 20S pre-rRNA clearly accumulated in the *pat1Δ* strain with no significant decrease in the mature 25S and 18S rRNAs (Figure 4B, *pat1Δ*). Second, the truncation mutants lacking the M-domain accumulated 35S pre-rRNA (Figure 4B, Pat1-N and Pat1-C). Third, deletion of both the M and C-domain together showed similar defects as deletion of the entire *PAT1* gene (Figure 4B, Pat1-N). Finally, the *pat1Δ* mutant with N+M or M+C together did not fully rescue the rRNA processing defects, suggesting that the N-domain and the C-domain are also needed for efficient rRNA processing. In conclusion, these results show that the mutants of Pat1 that are deficient in mRNA decapping are also defective in rRNA processing, indicating that these two processes are linked.

Pat1 is linked to a transcription.

One possibility for the delay in ribosomal processing when Pat1 is absent could be due to RNA polymerase transcription. Pat1 has been shown to facilitate transcription elongation in yeast¹². To evaluate how the different truncation mutants of Pat1 affect transcription elongation, we expressed the Pat1 mutants in *pat1Δ* strain and examined their growth on media containing 6-azauracil (6-AU). 6-AU is a transcriptional elongation inhibitor that depletes the intracellular GTP pools. Mutants that are deficient in Pol I or Pol II transcriptional elongation are hypersensitive to 6-AU^{45,46}.

The Pat1 domains required for efficient decapping ability are also required for transcriptional elongation as assessed by 6-AU sensitivity. Loss of Pat1 leads to complete inhibition of growth in 50μg/ml 6-AU. The Pat1 mutants' growth inhibition was correlated with the amount of decapping defect observed, with the M-domain being most important (Compare Figure 4B and 4D). Similarly, it was previously found that Pat1 lacking the M-domain was also defective in chromosome segregation²⁰. These results indicate that, as suggested by previous studies, regulation of mRNA decay by Pat1 is linked to its role in transcriptional elongation.

Lack of Pat1 leads to increase in mRNA abundance.

To further examine if transcription could be the source of the rRNA processing defects, we analyzed transcriptomics data from Sun et al ¹⁷. This study calculated the total abundance, transcription rate and decay rate of around 4000 mRNAs in 46 deletion mutants that affect mRNA decay, including *pat1Δ*. We analyzed the mRNAs that had been significantly reduced in abundance in the *pat1Δ* mutant, and found a significant enrichment in GO categories involved in RB (Figure S6). This decrease in abundance is due to reduced transcription in the *pat1Δ* mutant in the study. These results suggest that the rRNA processing defects in *pat1Δ* could be attributable to decrease in the levels of these mRNAs and that the M-domain of Pat1 is important for a transcription of these genes.

To validate our hypothesis, we selected eight RB-related mRNAs that were especially decreased in the Sun et al. dataset in the *pat1Δ* mutant and analyzed them by northern analyses. Surprisingly, the levels of these mRNAs increased significantly in the *pat1Δ* mutant as compared to WT strain (Figure 7). We then selected an additional set of mRNAs that are non-RB-related. Again, we saw a significant increase in mRNA abundance of these genes. We next examined three mRNAs increased in abundance in the Sun et al. dataset. These mRNA increased as well, although more modestly than reported except for the HSP26 mRNA. Finally, we wished to examine whether the mRNA encoding for ribosomal protein are also increased in the *pat1Δ* mutant. We selected three genes, and found only a slight increase of their levels. When normalized to *ACT1* mRNA instead of *SCR1* RNA, no significant difference in their levels between the *pat1Δ* and WT strains was observed (Figure S7). We could not compare the mRNA encoding for ribosomal protein levels to the published transcriptomics dataset, as they were largely absent in the study.

To eliminate the possibility of strain specific differences being the cause of the discrepancy, some of the above tested mRNAs were analyzed in the same *pat1Δ* strain used to obtain the Sun et al. dataset. We observed a similar increase in the levels of RB genes related mRNAs and no change in the levels of ribosomal protein gene mRNAs consistent with the results with our strain (data not shown). To test if this increase in the levels of mRNA is due to lack of efficient mRNA decapping, we analyzed the amounts of full-length mRNAs in the decapping assay in Figure S5. The strain lacking Pat1 accumulated at least 4 fold more full-length mRNA compared to the strain having a genomic copy of *PAT1* (Figure S5A and S5B).

1 These results suggest that the mRNA levels are dysregulated in the *pat1Δ* mutant, presumably due to the
2 effects of mRNA decapping and mRNA decay. We found that ribosomal biogenesis mRNAs trend towards
3 significant increases in abundance, while the ribosomal protein mRNAs are not increased. This discordance at the
4 mRNA level may be the source of the biogenesis defect.

5 To further confirm our hypothesis that the increase in mRNA levels is due to the lack of efficient decapping in
6 the *pat1Δ* mutant, we examined and compared other mutants in 5'-to-3' decay pathway to that of the *pat1Δ* deletion
7 strain by northern analysis. Similar to the *pat1Δ* deletion mutant, the levels of the RB mRNAs were raised in *xrn1Δ*
8 and *lsm1Δ* mutants (Figure S8). Taken together, these results are consistent with Pat1 affecting the levels of RB
9 mRNAs through decapping.

11 DISCUSSION

12 Since its discovery as a topoisomerase II interacting protein, Pat1 has been implicated to have a role in the
13 nucleus (Figure S1)^{15,16}. Although, subsequent studies have primarily concentrated on its cytoplasmic role as a
14 translational repressor and mRNA decapping activator, several recent studies have provided evidence and
15 proposed that Pat1 could have a function in the nucleus^{5-7,12,14}. One line of evidence for Pat1 entering the nucleus
16 is that Pat1 accumulates there when the Lsm1 protein is absent¹³. The nuclear accumulation in the *lsm1Δ* mutant
17 could be due to loss of cytoplasmic anchoring, perhaps via P bodies¹³.

18 In this work, we investigated these roles and show that the absence of Pat1 does not affect splicing or nuclear
19 pre-mRNA degradation. Interestingly, it affects rRNA processing resulting in abnormal intermediates, generated
20 from multiple steps, especially the 35S, 27S and 20S pre-rRNAs (Figure 3).

21 The ribosome biogenesis defects of the *pat1Δ* and other mutants we examined are largely consistent with the
22 previously published data on the *lsm1Δ*, *lsm6Δ* and *lsm7Δ* mutants²⁴. Namely, that defects in processing of the
23 35S rRNA was delayed, which is a commonly observed defect in ribosome biogenesis mutants³⁶. We found an
24 increase in the 20S pre-rRNA levels at 30°C for the *pat1Δ* mutant and *lsm1Δ* mutant. As *lsm1Δ* mutant was shown
25 to have decreased levels of 20S at 37°C, we observed that the amount of the 20S pre-rRNA decreased *pat1Δ* 37°C
26 (Figure S4)²⁴. Similarly, the *lsm6* and *lsm7* mutants have 20S accumulation at 20°C by northern blot analyses in
27 another study³⁶. Taken together, the *lsm1Δ* has broadly similar defects in rRNA processing to the *pat1Δ* mutant.

1 These results suggest that Pat1 does not affect a single step in RB, but rather affects the process of rRNA
2 processing globally. Nevertheless, absence of Pat1 could allow generation of products that are recognized by the
3 ribosome quality control machinery and not be revealed through our steady-state and pulse-chase analyses as has
4 been previously described⁴⁷.

5 In this study, we showed that Pat1 has a synthetic sick relationship with Rrp6 and Trf4. Despite these synthetic
6 relationships, we did not uncover any clear defects that could account for the synthetic sickness. Pat1 and Lsm1
7 affect rRNA processing similarly. Pat1 has been shown to shuttle between the nucleus and the cytoplasm, and can
8 recognize oligoadenylated (oligo(A)) RNAs. Therefore, it is possible that Pat1 together with Lsm1 facilitates the
9 recognition of the short oligo(A) tails that are added by the TRAMP complex in the nucleus. This interaction may
10 facilitate the processing of the TRAMP dependent oligoadenylated RNAs such as non-coding RNA, snoRNAs etc.
11 or other alternate functions of the TRAMP complex^{12,14,48}. Strengthening our hypothesis, we also observed an
12 increase in the levels of snoRNAs in *pat1Δ* mutant (Figure 2, Figure S2).

13 Other roles proposed for the TRAMP complex and the exosome include termination of snoRNA transcription,
14 determination of mRNA poly(A) length and control of pervasive transcription of noncoding RNA in general as well
15 as rDNA copy number in particular⁴⁹⁻⁵². The most likely linkage of Pat1 to the TRAMP complex may be in control
16 of rDNA copy number. Evidence supporting this is that the *pat1Δ* mutant mimics the rDNA recombination
17 phenotype of *top1Δ* and *top2Δ* mutants. Furthermore, this is consistent with several studies reporting that *top1Δ* is
18 either synthetically sick or lethal with *trf4Δ*⁵²⁻⁵⁵.

19 The possibility exists that the Pat1/Lsm1-7 complex could directly interact with rRNA processing intermediates,
20 suggested by its ribosomal association and precipitation of 20S pre-rRNA with Lsm1²⁴. However, based on the
21 observations that Pat1 affects multiple steps in rRNA processing, we theorized that lack of Pat1 might lead to
22 down-regulation of transcription of RB genes as a class. Such a model was suggested by our analysis of a recent
23 study that had examined the effect of a *pat1* deletion on mRNA abundance, decay and transcription¹⁷. In their
24 data, we found that the transcription of mRNAs related to RB was down regulated leading to a decrease in the total
25 abundance of these mRNAs in *pat1Δ* mutant (Figure S6).

26 Surprisingly, when we validated these results by northern analyses, the total abundance of most RB mRNAs
27 that we examined was instead increased in the *pat1Δ* mutant. This increase was seen both with normalization

1 against the non-coding RNA component of the signal recognition particle, scR1 and with *ACT1* mRNA (Figure 5
2 and S7). However, the study observed that the absence of Pat1 reduced transcription genome-wide¹⁷. Similarly,
3 *GAL1* transcription assays in another study suggested that Pat1 promotes transcription elongation¹². Taking
4 together, these data suggest that in the absence of Pat1, there should be reduced transcription, while we find the
5 opposite result for the ribosomal biogenesis genes. Although previous studies and our results demonstrated that
6 Pat1's role in transcriptional elongation is linked to its role in mRNA degradation, the increase in total mRNA
7 abundance of RB mRNAs tested here suggest that the dysregulation of mRNA levels in the *pat1Δ* mutant is due to
8 poor mRNA decapping and as result reduced decay. Increase in RB mRNA levels in other decapping pathway
9 mutants, *xrn1Δ* and *lsm1Δ*, strongly support our assumption that the ribosome biogenesis defects observed in
10 *pat1Δ* strain are due to defects in mRNA decay (Figure S8). However, it should be noted that both Xrn1 and Lsm1
11 have been previously been reported as ribosome biogenesis factors with defects in rRNA processing^{35,56,57}.
12 Specifically, the deletion of *xrn1* affects processing at the A₀, A₁, A₂ and A₃ sites as well as the 5' end processing of
13 the 27SA₃ and 5.8S rRNAs. For its part, the absence of Lsm1 delays A₀ and A₁ cleavages and affects 20S rRNA
14 levels.

15 Interestingly, while we found most RB genes were up regulated in the *pat1Δ* mutant, the ribosomal protein
16 encoding mRNAs were not elevated in the *pat1Δ* mutant when normalized to *ACT1* mRNA (Figure S7). These data
17 suggest a possibility that Pat1 specifically targets the RB genes for degradation and thus these genes are elevated
18 in its absence. Similarly, mRNA encoding ribosomal biogenesis factors and ribosomal proteins have been shown
19 previously to have different decay profiles⁵⁸. It is tempting to speculate that Pat1 could control this differential
20 decay, which was observed most significantly upon glucose deprivation, where Pat1 plays a role in translational
21 repression⁷. Such a regulation of the decay of a class of mRNA was first suggested from a genome-wide study of
22 mRNA decay⁵⁹. By specifically looking at mRNA degradation genome-wide, ribosome biogenesis factors were
23 suggested to be regulated at the level of mRNA stability⁶⁰, which is supported by our results. Further analysis of
24 the dataset¹⁷ combined with analysis of UTR lengths revealed a motif specific for rapid degradation of RB factors
25⁶¹. This sequence is similar to that recognized by the Pat1/Lsm1-7 complex, suggesting a possibility that Pat1
26 might play a role in the differential decay of RB and ribosomal proteins mRNA⁶².

27 The increase in the levels of mRNA for RB factors may alter the composition of the RB machinery to assemble
28 and process ribosomes. These results suggest a model in which ribosomal biogenesis is a sensitive sensor for

correct stoichiometry between ribosomal components, since this process has more than 200 trans-acting factors⁶³. Consistent with this proposition, in a genome-wide study, overexpression of four of the top five most up-regulated mRNAs involved in ribosome biogenesis that we examined were either lethal or sick⁶⁴. While many studies have examined the use of conditional promoters to deplete ribosomal biogenesis factors' mRNAs, few have examined the effect of over-expression. However, overexpression of RB transcription factors such as Sfp1 causes toxicity concomitant with the induction of RB mRNA⁶⁵.

In conclusion, our results suggest that Pat1's role in the cell is predominantly in mRNA degradation rather than in transcription. Pat1 appears to affect the levels of mRNA encoding ribosomal proteins and those encoding RB biogenesis factors. These data support a model in which Pat1 regulates mRNA stability differentially amongst different classes of mRNAs in a coordinated fashion to modulate gene expression.

MATERIALS AND METHODS

Yeast strains, plasmids, and cell culture.

The strains and plasmids used in this work are listed in Supplementary Tables S1 and S2 respectively. Double deletion mutants were constructed by crossing two respective isogenic single mutants of opposing mating type. Strains used in this study were cultured using rich YEPD or synthetic complete medium lacking the appropriate amino acids. Unless otherwise mentioned cells were inoculated from overnight cultures to 0.05-0.08 OD in fresh medium, grown at 30°C and harvested at ~0.5 O.D. For decapping assay, cells were grown in SC -Ura -Leu with 2% sucrose overnight. Cells were washed twice and inoculated to 0.05 O.D in SC -Ura -Leu with 2% galactose to induce the expression of pGAL-MFA2pG and were harvested at ~ 0.3 O.D⁶⁶.

Live cell imaging.

Cells were grown in SDC-His liquid medium to exponential phase at 30°C. Fluorescence images were captured using Nikon eclipse 90i microscope with Hamamatsu ORCA-ER digital camera. Pictures were then processed using ImageJ and Adobe Illustrator CS6⁶⁷.

Yeast Growth Assay.

Strains were grown overnight at 30°C in the appropriate medium. 10ml of overnight grown cultures were harvested and re-suspended to 1.0 O.D. Cells were 10-fold serially diluted and ~ 3µl of each dilution was spotted with a pin tool (V&P Scientific) onto appropriate plates. Plates were incubated at the indicated temperatures for 2 days (30°C or 37°C) or 4 days (20°C).

Northern Analysis.

Total RNA extractions were performed as described in ⁶⁸ with small modifications. Unless mentioned otherwise, 10 µg of total RNA was analyzed by either 1.2% formaldehyde agarose gel or 6% polyacrylamide/7.5 M urea gel. RNA was either capillary transferred or electro blotted onto a nylon membrane ⁶⁹. Northern analysis was performed with oligonucleotide probes or random labeled probes. The oligonucleotides and primers used for the preparation of probes in this work are listed in Supplementary Table 3.

Pulse-Chase Analysis.

The pulse-chase analysis was done as described ³⁷ with modifications. WT and *pat1Δ* strains were grown to 0.4-0.5 O.D at 30°C, harvested, and re-suspended to 1.0 O.D in SDC-Ura liquid medium. Cells were further grown for 30 minutes before they were pulse-labeled for 1 min with ³H uracil and then chased with excess of nonradioactive uracil. At the indicated times, 800µl sample was transferred to 2ml Eppendorf half filled with crushed ice placed in ice. Samples were spun down quickly and frozen in dry ice ⁷⁰. Total RNA was isolated by the hot phenol method as described in ⁷¹. 20,000 cpm/sample of RNA was separated on a 1.2% formaldehyde agarose gel and transferred to a Hybond-N membrane (GE) by capillary transfer overnight. The membrane was UV-cross-linked, sprayed with En³HanceTM (PerkinElmer) according to the manufacturer's instructions and exposed to a film for 5 days at -80°C.

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TABLES AND FIGURES LEGENDS

Table 1. Relative amounts of rRNA processing intermediates in *pat1* and *lsm* mutants.

	35S	27SA	27S	20S	25S	18S
WT	1.00	1.00	1.00	1.00	1.00	1.00
<i>pat1</i> Δ	2.73 ± 0.30	1.16 ± 0.18	1.28 ± 0.10	1.55 ± 0.17	1.03 ± 0.20	1.00 ± 0.06
<i>lsm1</i> Δ	2.39 ± 0.057	0.92 ± 0.19	1.19 ± 0.15	1.37 ± 0.18	1.01 ± 0.15	0.96 ± 0.04
<i>lsm6</i> Δ	1.83 ± 0.32	0.71 ± 0.10	0.93 ± 0.14	1.35 ± 0.37	0.87 ± 0.10	0.88 ± 0.03
<i>lsm7</i> Δ	2.25 ± 0.44	0.71 ± 0.07	1.13 ± 0.16	1.63 ± 0.26	0.89 ± 0.06	0.91 ± 0.04

Figure 1. Deletion of Pat1 does not affect splicing of pre-U3 snoRNA. (A) Strains indicated were grown overnight at 30°C. Cells were then serially diluted 1:10, spotted on YEPD plates and grown at the indicated temperatures. (B) Northern analysis of the pre-U3 snoRNA splicing on a 6% denaturing polyacrylamide gel, blotted,

and hybridized with oligonucleotide probe complementary U3 RNA. The unspliced U3 RNA is indicated as “Pre-U3 Species”. The mature and truncated U3 species are also indicated. scR1 is the loading control.

Figure 2. Deletion of Pat1 does not accumulate 5'-unprocessed fragments of pre-mRNAs having intronic snoRNAs. (A) Pictorial representation of an mRNA containing snoRNA in its intronic region, showing the relative positions of the oligonucleotide probes used in this study. (B) Left: Northern analysis of the *TEF4* mRNA indicating 5' decay product, the snR38 snoRNA and spliced full-length TEF4. To the left of each gel panel is indicated in brackets the oligonucleotide used for probing. The strains used are indicated above the figure. Total RNA was analyzed by agarose or PAGE northern analysis as appropriate. Right: The splicing products and decay intermediates are schematically depicted next to the indicated RNA species on the left. (C) As above for the *EFB1* gene. (D) Northern analysis for the *ACT1* gene in the strains indicated above probed with randomly primed DNA. (E) As above for the *PGK1* gene. (F) As above for the *SCR1* gene and probed with oTN100 (G) Quantification of the relative percentages of the mature mRNA and snoRNA of *EFB1* and *TEF4* normalized to scR1. The data represents averages of at least three independent experiments, Error = SD. Two tailed independent sample t-test with unequal variance was used. * Indicates *p*-values of <0.05, ** indicates *p*-values of <0.01, and *** indicates *p*-values of <0.001. (H) Quantification of the relative percentages of the *PGK1* and *ACT1* mRNA normalized to scR1.

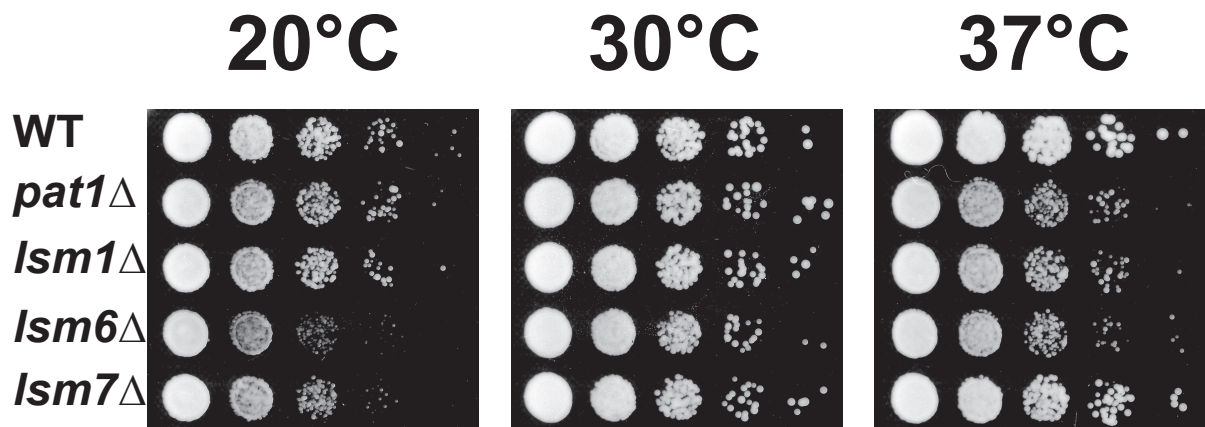
Figure 3. Pat1 is required for rRNA maturation. (A) Schematic representation of pre-35S rRNA and the relative positions of the oligonucleotide probes used for northern analysis. (B) Northern analysis of rRNA processing. The oligonucleotide probes used for probing are indicated in the brackets. RNA products analyzed are indicated to the right. The rRNA species are schematically depicted next to the indicated rRNA products. Strains examined are indicated at the top of the panels. (C) Quantification of rRNA intermediates shown in panel B normalized to scR1. n = 3, Error bar = SD and *p*-values as indicated in Figure 2 (D) Pulse-chase analysis of WT and *pat1*Δ strains. Strains were pulse-labeled for 1 min and chased with cold uracil for the indicated times listed above. The pre-rRNAs and rRNAs are indicated on the left and the schematic representation of rRNA species is on the right. (E) Growth assay for the WT, single, and double deletion strains indicated in the figure. Cells were grown overnight, serially diluted, spotted on YEPD plates and grown at the given temperatures.

Figure 4. Domains of Pat1 that are required for mRNA decapping are also required for rRNA processing. (A)

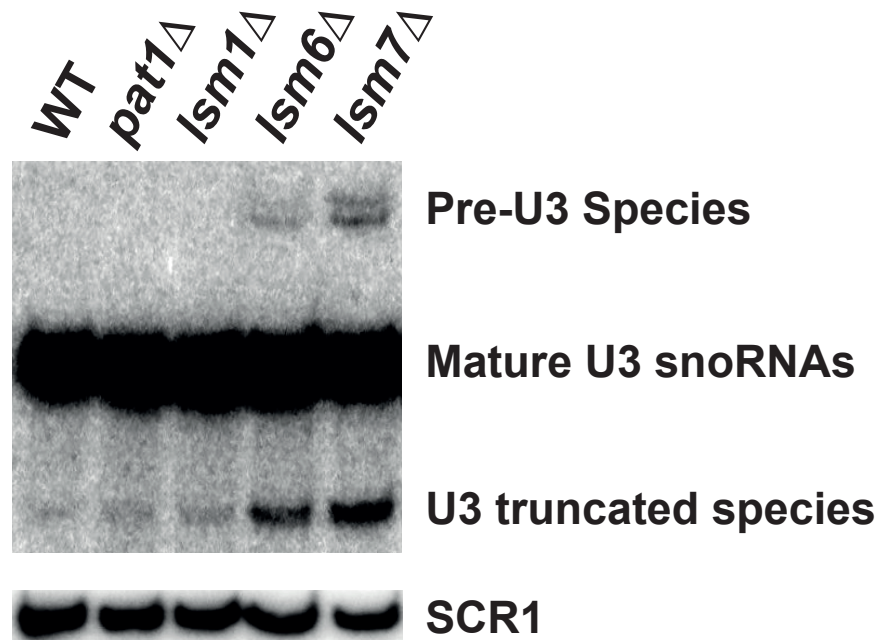
Growth of different truncation mutants of Pat1. Constructs containing different domains of Pat1 were transformed into *pat1Δ* mutant. Strains were grown in SDC–Ura medium overnight at 30°C. Cells were serially diluted 1:10, spotted on SDC–Ura plates and grown at the temperatures indicated. (B) In vivo mRNA decapping efficiency of Pat1 truncation mutants grown. Northern blots were probed for the MFA2pG gene with oTN121. The relative percentage of pG decay fragment to full-length MFA2pG is indicated. n = 3, Error bars and *p*-values as in Figure 2 (C) rRNA processing in the Pat1 truncation mutants. Northern analysis performed as panel B. n = 3. The oligonucleotide probes used for probing are indicated in the brackets to the left. rRNA processing intermediates are indicated to the right. The relative amounts of the rRNA intermediates is given at the bottom of the each panel, corresponding to the order of the species on the rRNA northern, with the species in parenthesis given next to it. The schematic representation of the rRNA processing intermediates is depicted to the right of the indicated rRNA species. (D) Pat1 mutants are sensitive to 6-AU. Growth of the *pat1* mutants was analyzed as in panel A. Cells were spotted on SDC–Ura plates or SDC–Ura+ 6-AU (50ug/ml) plates.

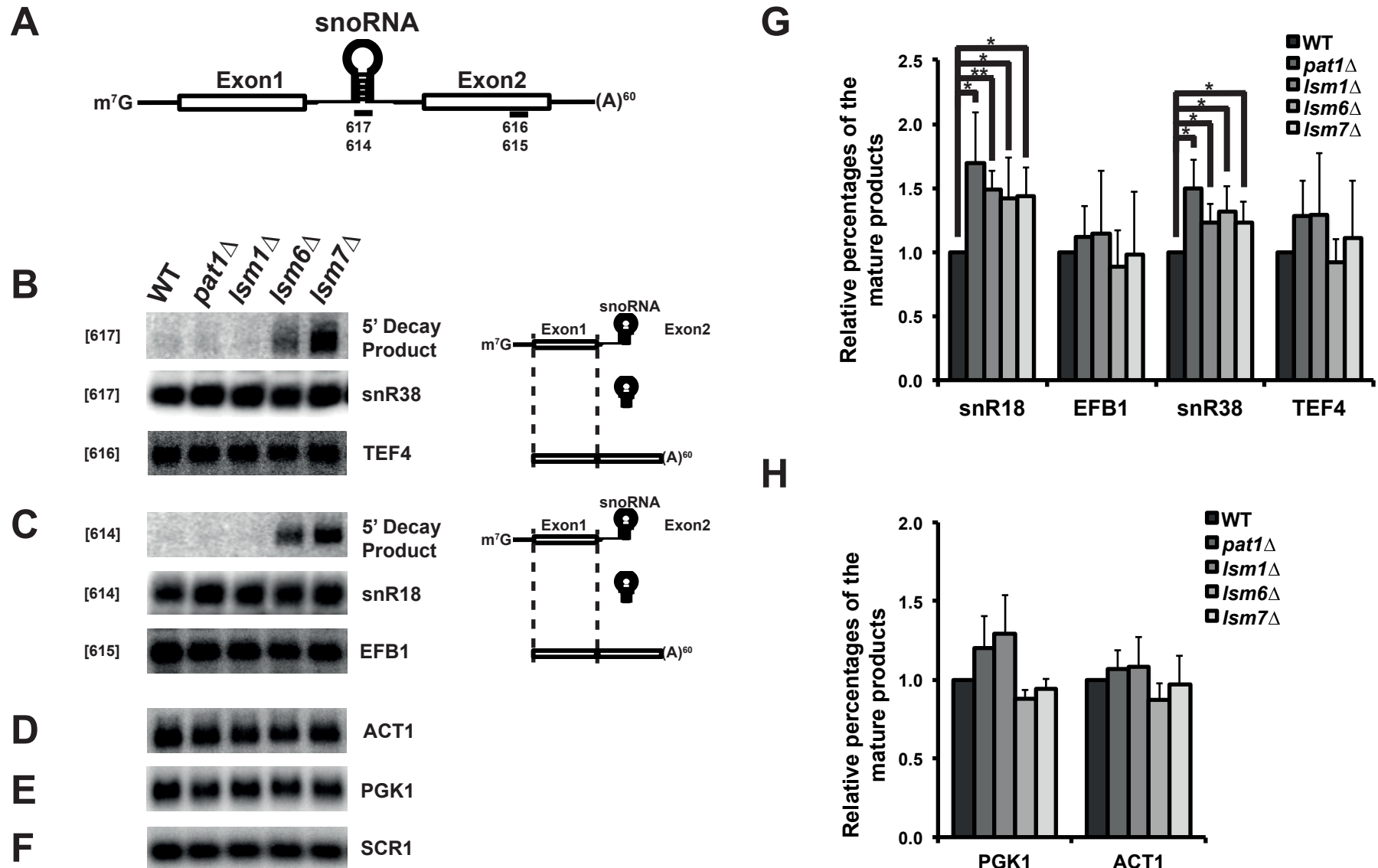
Figure 5. RB-related mRNAs are up regulated in *pat1* deletion mutant. Quantification of the northern analysis of the mRNAs belonging to different classes of differentially expressed mRNAs in *pat1Δ* mutant from Sun et al.¹⁷ normalized to SCR1 RNA. Total RNA from WT and *pat1Δ* strains grown at 30°C to 0.5 O.D was extracted and separated on a 1.2 % agarose gel. mRNAs indicated in the X-axis were probed with randomly primed probes made with primers listed in Table S3. Y-axis is plotted in log2 scale. Different categories of mRNAs from Sun et al.¹⁷ examined in this study are indicated at the bottom of the graph. n = 3, Error bar = SD and *p*-values as given in Figure 2.

A



B





Muppavarapu et al.,
Figure 2

